# Troutman Sanders LLP

ATTORNEYS AT LAW

BANK OF AMERICA PLAZA 600 PEACHTREE STREET, N.E. - SUITE 6200 ATLANTA, GEORGIA 30308-2218 www.ifoutmansanoers.com TELEPHONE 404-885-3000 FACSIMILE, 404 885-3900

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Orect Dial 404-885-3538 Direct Fax 404-962-6769

October 28, 2005

VIA FACSIMILE ONLY 571-273-9900

U.S. Patent and Trademark Office Office of Patent Legal Administration Ann: Steve Marcus Alexandria, VA 22313

RE:

U.S. Patent Reissue Application for

AGRICULTURAL DISC MOUNTING SYSTEM AND METHOD

Senal No. 09/938,218

Filing Date: August 23, 2001 Applicant: Gengler et al. Our File No.: AGSUN12RE

Mr. Steve Marcus:

Per your request, please find enclosed a Statement Under 37 CFR 3.73(b) and assignment records illustrating the chain of title for the above-referenced reissue patent application. The chain of title indicates that AGCO Corporation currently owns all rights to this reissue patent application. As you know, the instant application is a reissue of U.S. Patent No. 6,158,523 having an application number of 09/183,360.

The first enclosed Notice of Recordation of Assignment Document, dated April 27, 1999, and accompanying assignment document demonstrate that Allan S Gengler (inventor), Bradley A. Heidrick (inventor), and Jeffrey S. Hughes (inventor) assigned all rights and title of the patent application having a serial number of 09/183,360 to Sunflower Manufacturing Co., Inc.

The second enclosed Notice of Recordation of Assignment Document, dated June 25, 2003, and accompanying assignment document demonstrate that Sunflower Manufacturing Co., Inc. assigned all rights and rule of the patent application having a serial number of 09/183,360 (and reissue thereof) to AGCO Corporation.

Should you have any questions or require anything further please let us know.

e A. Proffet

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TYSONS CORNER • VIRGINIA BEACH • WASHINGTON, D.C.

OCT 28 2005 17:05 FR AGCO LEGAL

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P.03/03

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	ENT UNDER 37 CFR 3.73(b)				
Applicant/Patent Owner: GENGLER, Alan S.: HEIDRIC	K. Bradley A.; and Hughtes, Jeffrey S				
Application No./Patent No.: 09/938.218 Filed/(ssue Date: 08/23/2001					
Enther: Agricultural disc mounting system at	NO METHOD				
AGCO CORPORATION (Name of Assesses)	Representation (Type of Assignes, e.g., corporation, partnership, university, government agency, etc.)				
states that it is: 1.  The assignee of the entire right, title, and interes					
an assignee of less than the entire right, title at The extent (by parcentage) of its ownership int					
in the patent application/patent Identified above by vir	tue of either:				
thereof is attached.	em application/patent identified shove. The assignment was recorded ideat Rest, Frame, or for which a copy				
OR  8. A chain of title from the inventor(s), of the parent application/patent identified above, to the current assignee as shown below.					
	To: Sunilower Manufacturing Co., Inc. ad States Patent and Trademark Office at or for which a copy thereof is attached.				
From: Synflower Manufacturing Company, inc The document was recorded in the Unite Rest 019751 Frame 04	To: ASCO CORPORATION  Id States Patent and Trademark Office as  To for which a copy thereof is attached.				
3. From:	To: at States Patent and Trademark Office at				
The document was recorded in the Unite Real, Frame	d States Patent and Trademark Office at				
Additional documents in the chain of title are					
Copies of assignments or other documents in the (NOTE: A separate copy (I.e., a true copy of the or Division in accordance with 37 CFR Part 3, if MPEP 902.08]	chain of the are attached riginal designment document(e)) must be submitted to Assignment the assignment is to be recorded in the records of the USPTO. See				
The undersigned (whose tips is supplied palow) is out	notized to act on behalf of the assignee				
	10-28-05				
Stephanire	Date				
Primed or Typed Name	770-813-9200				
Senior Vice President and &	Telephone Number				
This collection of impression is required by 37 CPN \$.73(a). The ind USPTO to process) an application. Confidentially is governed by 35 complete, including patients, preparing, and submitting the complete completes on the amount of time was provided to complete the formal and the complete or complete to complete the contract that the confidence and t	brandon is required to obtain or reten a beneal by the pulse which is to file and by the it.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to adaptication form to the USPTO. Time will very depending upon the includual case. Any index suggestions for isolating this burden, should be sent to the Chief Information Officer, P.O. Box 1850, Assentria, VA 22313-1850. DO NOT SEND FEES OR COMPLETED units, P.O. Box 1850, Alexandria, VA 22213-1459.				

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office ASSISTANT SECRETARY AND COMMISSIONER

OF PATENTS AND TRADEMARKS Washington, D.C. 20231

APRIL 27, 1999

PTAS

LITMAN, KRAAI & BROWN, L.L.C. GERALD L. BRIGANCE 4700 BELLEVIEW, SUITE 200 KANSAS CITY, MISSOURI 64112



## UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 01/28/1999

REEL/FRAME: 9724/0670 NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

GENGLER, ALLAN S.

DOC DATE: 10/28/1998

ASSIGNOR:

HEIDRICK, BRADLEY A.

DOC DATE: 10/28/1998

ASSIGNOR:

HUGHES, JEFFREY S.

DOC DATE: 10/28/1998

ASSIGNEE:

SUNFLOWER MANUFACTURING CO., INC. NO.1 SUNFLOWER DRIVE, P.O. BOX 566 BELOIT, KANSAS 67420

SERIAL NUMBER: 09183360

FILING DATE: 10/30/1998

PATENT NUMBER:

ISSUE DATE:

9724/0670 PAGE 2

SONYA JOHNSON, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS

Commissioner of Patents and Trademarks PATENT BOX: ASSIGNMENT 02-02-1999 Washington, D.C. 20 Date: January 20, 1999 100954581 Transmirted herewith for recording is a document for which the following particulars apply: Allan S. Gengler; Bradley A. Heidrick; and Jeffrey S. Hughes 1. Assignor(s): Sunflower Manufacturing Co., Inc. 2. Assignee(s): Address: No. 1 Sunflower Drive, P.O. Box 566, Beloit, Kansas 67420 X Assignee is domiciled in the United States. \_ Assignee is not domiciled in the United States. A Designation of a Domestic Representative is attached. 3. Type of Document: Assignment Agreement Date Executed: 10/28/98; 10/28/98; and 10/28/98 Interest Conveyed: X Entire \_\_\_\_ Other: Please record the enclosed document against the following: 4. X parent application(s) \_\_\_\_\_ issued patent(s) Application Serial No. 09/183,360, filed 10/30/98 entitled AGRICULTURAL DISC MOUNTING SYSTEM AND METHOD 5. Please address all correspondence concerning recordation of this document to: Litman, Kraai & Brown, L.L.C., 4700 Belleview, Suite 200, Kansas City, Missouri 6. Please charge Deposit Account No. 12-1660 for the total recording fee of 7. *5\_\_\_\_\_.* 8.

X Our check No. 10074 Is enclosed to cover the total recording fee of \$<u>40.00</u>

X The Commissioner is hereby authorized to charge any additional fees which may 9. be required, or credit any overpayment, to Account No. 12-1660.

10. The undersigned certifies that to the best of his knowledge, all information contained herein is true and correct and any copy of documents submitted herewith is a true copy of the original documents. 2/01/1999 SBLEGS 00000173 09:83360

1 FC:581

40.00 OP

Respectfully submitted,

4700 Belleview, Suite 200 Kansas City, Missouri 64112 Telephone (816) 931-1800

Gerald L. Brigance Reg. No. 34,073

Total number pages submitted: 4

#### ASSIGNMENT AGREEMENT

On the date shown below, and for good and valuable consideration the receipt of which we acknowledge, we made the following assignment and promises to Sunflower Manufacturing Co., Inc. ("Assignee"), a Kansas corporation having a principal place of business at No. 1 Sunflower Drive, P.O. Box 566, Beloit, Kansas 67420, in connection with our invention of a new, original, AGRICULTURAL DISC MOUNTING SYSTEM AND METHOD ("Invention").

- 1. We assign, transfer, and convey to Assignee the entire right, title and interest in and to
  - (a) the Invention;
  - (b) the application for United States Letters Patent executed by us on October 28 , 1998; October 28 , 1998; and October 28 , 1998.
  - (c) any Letters Patent issued pursuant to, or as a result of, the application referenced above;
  - (d) all applications for Letters Patent, or similar legal protection, which may be filed in any country or countries foreign to the United States with respect to the Invention;
  - (e) all Letters Patent which may be granted for the Invention in any country or countries foreign to the United States; and
  - (f) all extensions, renewals, reissues, divisions, and continuations (or their legal equivalents) of any applications or Letters Patent referred to above.
- 2. We authorize and request the Commissioner of Patents and Trademarks of the United States, and any official of any country or countries foreign to the United States whose duty it is to issue patents or similar legal protection on applications, to issue to Assignee all Letters Patent or similar legal protection for the Invention or improvements.
  - 3. We agree that, upon the request of Assignee, we will
    - (a) execute any and all divisional and renewal applications for the Invention or improvements;
    - (b) execute any supplemental oath relating to the Invention or improvements;

- (d) cooperate to the best of our ability in connection with any Interference proceeding, including but not limited to, cooperation in connection with preparing the preliminary statement and giving and producing evidence in support of such preliminary statement;
- (e) perform any and all affirmative acts to obtain Letters Patent and vest all right in such Letters Patent in Assignee; and
- (f) perform such additional acts as Assignee may deem necessary or expedient in connection with any of the matters stated above.
- 4. We covenant and warrant that as of the date of this Assignment Agreement, we are the true and lawful owners of the entire right, title, and interest in the Invention, application for Letters Patent, and any Letters Patent which may issue under said application, and have the full right and power to convey the same; that the same are free and clear of all liens, charges and encumbrances whatsoever; and that we have not executed and will not execute any agreement in conflict with this Assignment Agreement.
- 5. This Assignment Agreement is binding upon, shall inure to the benefit of, and shall be performed by the parties named, their heirs, administrators, legal representatives, successors and assigns.

<u>Allan S. Gengler</u>, 10/28/98

Co-Inventor

Bradley A. Heidrick, 10/28/98

Co-Inventor

Jeffry & Gupfur 20/28/9

Co-Inventor

STATE OF Kanney
COUNTY OF Middel ) ss.
On this 2 day of
My Commission Expires: 6/7/02
On this day of form and for the above State and County, personally appeared.  Bradley A. Heidrick, to me known and known to me to be the person of that name who signed and sealed the above instrument, and he acknowledged the same to be his free act and deed.  RONALD E. HARRIS  WY Appl. Express Are 1. 2002  Notary Public  My Commission Expires: 677/02—
COUNTY OF Middle Same County of Other 1998 before me, a Notary Public in and for the above State and County, personally appeared Jeffrey S. Hughes, to me known and known to me to be the person of that name who signed and sealed the above instrument, and he acknowledged the same his free act and deed.  RONALD E. HARRIS NOTARY Public  My Appl Expires Are 7, 2012  Notary Public



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## RECEIVED

JUN 3 0 2003

Ryan A. Schneider

JUNE 25, 2003

TROUTMAN SANDERS LLP RYAN A. SCHNEIDER 600 PEACHTREE STREET, NE SUITE 5200 ATLANTA, GA 30308

PTAS

Under Secretary of Commerce For Intellectual Property and Director of the United States Patent and Trademark Office Washington, DC 20231 www.uspto.gov



UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 02/12/2003

REEL/FRAME: 013751/0487

NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

SUNFLOWER MANUFACTURING COMPANY,

DOC DATE: 11/07/2002

INC.

ASSIGNEE:

AGCO CORPORATION 4205 RIVER GREEN PARKWAY DULUTH, GEORGIA 30096-2568

SERIAL NUMBER: 09183360 PATENT NUMBER: 6158523

FILING DATE: 10/30/1998 ISSUE DATE: 12/12/2000

SERIAL NUMBER: 09977816

FILING DATE: 10/15/2001

PATENT NUMBER:

ISSUE DATE:

FILING DATE: 08/09/2002

SERIAL NUMBER: 10214765 PATENT NUMBER:

ISSUE DATE:

SERIAL NUMBER: 09686544 PATENT NUMBER: 6422376

FILING DATE: 10/11/2000 ISSUE DATE: 07/23/2002

013751/0487 PAGE 2

7

SERIAL NUMBER: 07702602 FILING DATE: 05/17/1991 PATENT NUMBER: 5299856 ISSUE DATE: 04/05/1994

FILING DATE: 01/09/1995 SERIAL NUMBER: 08370014 PATENT NUMBER: 5516253 ISSUE DATE: 05/14/1996

SERIAL NUMBER: 08585452 FILING DATE: 01/16/1996 ISSUE DATE: 05/06/1997 PATENT NUMBER: 5626196

FILING DATE: 11/22/1995 SERIAL NUMBER: 08561799

PATENT NUMBER: 5632343 ISSUE DATE: 05/27/1997

FILING DATE: 10/30/1998 SERIAL NUMBER: 09183360 PATENT NUMBER: 6158523 ISSUE DATE: 12/12/2000

SERIAL NUMBER: 09243940 FILING DATE: 02/04/1999 ISSUE DATE: 04/10/2001 PATENT NUMBER: 6213221

MARY BENTON, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS

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	Form P (Rev. 0	TO-1595 (Adapted) 3/01) Patent & Trademark	FEB 1 2 2000 E	102368235 TS ONLY	ARTMENT OF COMMERCE JON FORM COVER SHEET				
	I.	Name of conveying party: Sunflower Manufacturing Compa al name(s) of conveying party(tes) a		Name and address of receiving party(ies):      Name: AGCO CORPORATION.     Address: 4205 River Green Parkway     Duluth, Georgia 30096-2568					
	3.	Nature of conveyance:  Assignment Security Agreement Other Execution Date: November	Merger Change of Name	Additional name(s) & address(c	:s) attached? 🔲 Yes 🔀 No				
	4(a). 10/214,	Patent Application No.(s)	: 09/183,360, 09/977,816,	)4(b). Patent No.(s): 6,4: 5,626,196, 5,632,343 6,158,52	22,376, 5,299,856, 5,516,253, 23, 6,213,221				
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	5.	Name and address of party concerning document should	to whom correspondence ld be mailed:	6. Total number of applicat  7. Total fee (37 CFR 3.41):	nons and patents involved: 10				
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Box Assignments Washington, DC 20231

TR	TRANSMITTAL FORM		Application Number Filing Date First Named Inventor	
(to be used for a	all currespondence after	initial filing)	Group Art Unit Examiner Name	
Total Number of	of Pages in This Submiss	ion 5	Attorney Docket Number	AG/SUN+
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Firm or Individual name Signature Date	Ryan A. Schneider  Was 2003		ICANT, ATTORNEY, OI ,083	« AGENT
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office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO Commissioner for Patents, Washington, DC 20231.

From-TROUTMAN SANDERS

US Patent and

PTO/SB/17 (01-03) for use through 04/30/2003. OMB 0651-0032 Uffice: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

#### Complete if Known **FEE TRANSMITTAL** Application Number Filing Date for FY 2003 First Named Inventor Effective 01/01/03, patent fees are subject to annual revision Examiner Name Applicant claims small entity status. Sec 37 CFR 1 27 Απ Unii TOTAL AMOUNT OF PAYMENT (\$)400.00 Attorney Docket No. AG/SUN+

METHOD OF PAYMENT (check all that apply)				FEE CALCULATION (continued)						
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**or number previously paid, if greater; For Ressues, see above							_		(2)	400 00

SUBMITTED BY		Complete (if applicable)				
Name (Print/Type)	Ryan A. Schneider	Registration No. (Attorney/Agent)	45,083	Telephone	404-885-2773	
Signature	KMOKRIVIM			Dave	7 Feb 2003	

WARNING: Information of this may become public. Credit card Information should not be included on this form. Provide credit card information and authorization on PTO-2038.

The collection of information is required by 37 CFR 117 and 127. The information is required to obtain or retain a benefit by the public which is to fix (and by the USPTO to process) an application Confidentially is governed by 35 U.S.C. 122 and 37 CFR 114. This collection is estimated to take 12 menutes to complete, including gamering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any companies on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be seen to the Chief information Officer, U.S. Patent and Trademark Officer, U.S. Department of Commission, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you aced assistance in complainty this form, call 1-800-PTC-9199 (1-800-786-9199) and select option 2

#### **ASSIGNMENT**

WHEREAS, Sunflower Manufacturing Company, Inc., a Kansas Corporation, ("Assignor") has the entire right, title and interest in and to the trademarks and patents as set forth in Schedule A;

WHEREAS, AGCO Corporation, a Delaware corporation, (Assignee) is desirous of acquiring the entire right, title and interest in and to said trademarks and patents as set forth in Schedule A; and

NOW, THEREFORE, for good and valuable consideration, the receipt for and sufficiency of which is hereby acknowledged, Assignor does hereby sell, assign, transfer and set over unto Assignee, its legal representatives, assigns and successors, the entire right, title and interest in and to Assignor's trademarks and patents as set forth in Schedule A, together with the whole of the goodwill of the business pertaining thereto, the same and the rights of the Assignor to be held and enjoyed by Assignee for its own use and enjoyment, and for the use and enjoyment of its successors, assigns or other legal representatives; together with all claims for damages by reason of past infringement of the Assignor's patents and trademarks are set forth in Schedule A with the right to sue for and collect the same for its own use and benefit, and for the use and on behalf of its successors, assigns or other legal representatives

IN TESTIMONY WHEREOF, Assignor has caused it's name to be assigned by it's duly authorized officer.

Name: (printed or typewritten)
Title: Executive Vici Mondry we
Date: Nev. 1, 2002

Sunflower Manufacturing Company, Inc.

STATE OF North Carchina ) SS COUNTY OF Mack tenburg

On this \_\_\_\_\_\_ day of \_\_\_\_\_\_, 2002, before me personally appeared \_\_\_\_\_\_\_ to me known to be the persons named in and who executed the above instrument, and acknowledged to me that they executed the same for the uses and purposes therein set forth.

My Commission Expires December 11, 2002

### **SCHEDULE A**

#### TRADEMARKS

- 1. U.S. Registration No. 2218632 for "Sunflower"
- 2. U.S. Registration No. 1266170 for "S Sunflower"
- 3. U.S. Registration No. 1180856 for "C-Flex"
- 4. U.S. Registration No. 1083201 for "Sunflower"
- 5. Kansas State Trademark Registration No. 00426351 for FLEX KING BLADE PLOWS

#### **PATENTS**

- 1. U.S. Patent No. 6,422,376 for a Auger Coupler
- 2. U.S. Patent No. 5,299,856 for Dump Vehicle with Hydraulic Lock for Material Holding Container
- U.S. Patent No. 5,516,253 for Grain Cart
- U.S. Patent No. 5,626,196 for Seed Drill with Scraper/Soil Firming Attachment
- 5. Canadian Patent Application No. 2,194,731 for Seed Drill with Scrapper/Soil Firming Attachment
- 6. U.S. Patent No. 5,632,343 for Soil Deflecting and Leveling Reel
- 7. U.S. Patent No. 6, 158,523 for Agricultural Disc Mounting System and Method
  - U.S. Reissue of U.S. Patent No. 6,158,523 U.S. Patent application 09/183,360 for Agricultural Disc Mounting System and Method
- 8. U.S. Patent No. 6,213, 221 for Bearing Assemblies for Agricultural Implements,
- 9. U.S. Patent Application No. 09/977,816 for a Folding Agricultural Implement
- U.S. Patent Application No. 10/214,765 for Method and Apparatus for Tilling Agricultural Field

PTO/SB/97 (09-04)

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### Certificate of Transmission under 37 CFR 1.8 I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office 28 October 2005 on Date James A Proffitt Typed or printed name of person signing Certificate 54,837 404.885.3538 Registration Number, if applicable Telephone Number Note: Each paper must have its own certificate of transmission, or this certificate must identify each submitted paper. This transmission includes: Letter Correspondence (1 page), Statement Under 37 CFR 3.73(b) (1 page), and Assignment Documents and Notices of Recordation of Assignment Documents (13 pages). Applicant: GENGLER et al. Group Art Unit: 3671 Serial No.: 09/938,218 Examiner: Batson, V. Filing Date: 23 August 2001 Docket No.: AGSUN12RE Title: AGRICULTURAL DISC MOUNTING SYSTEM AND METHOD

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